

## North East Derbyshire District Council Internal Audit Plan 2020/21

### Progress as at 9<sup>th</sup> April 2021

	Audit Complete
	Audit in Progress
	Ongoing
	Carried forward to future years

	<b>2020/21 Days</b>	<b>Priority</b>
<b>Main Financial Systems</b>		
CIPFA Financial Management Code	8	M
Debtors (Key Controls)	10	M
Cash and Banking	18	M
Council Tax	20	M
Housing / Council Tax Benefit	18	
<b>Total Main Financial Systems</b>	<b>74</b>	
<b>Other Operational Audits</b>	<b>2020/21</b>	<b>Priority</b>
Asset Management Arrangements	10	M
Corporate Targets	15	M
Data protection	12	M
Disabled Facilities Grants	10	M
Dog Warden Service	5	L
Elections (carry fwd from 19/20)	10	L
Food Hygiene	13	
Homelessness	10	

Leisure Operational including Cafe	20	M
Leisure direct debits	5	L
Planning Processes & Procedures / Appeals	10	M
Procurement	15	H
Social Media	8	M
Street Cleansing	10	L
<b>Total Operational Areas</b>	<b>153</b>	
<b>Rykneld Homes</b>	<b>97</b>	<b>H</b>
<b>IT Related</b>		<b>Priority</b>
Laptops & Removable Media	12	M
Network / cyber security	12	H
<b>Total IT</b>	<b>24</b>	
	<b>2020/21</b>	<b>Priority</b>
<b>Fraud and Corruption</b>		
National Fraud Initiative	5	
<b>Total Fraud &amp; Corruption</b>	<b>5</b>	
<b>Corporate / Cross Cutting Issues</b>		<b>Priority</b>
Climate Change	5	H
Corporate Governance/Assurance Statement	2	H

Ethics	10	
Financial Advice/Working Groups/Test Schedule Development	12	
Mobile Phones / Communications	10	L
Transformation Agenda	10	
Use of Consultants / IR35 (carry fwd from 19/20)	14	
<b>Total Corporate/Cross Cutting Issues</b>	<b>63</b>	
<b>Special Investigations / Contingency</b>	<b>25</b>	
<b>Apprenticeships / training</b>	<b>30</b>	
<b>Audit Committee / Client Liaison</b>	<b>15</b>	
<b>Grand Total</b>	<b>486</b>	