

**North East Derbyshire District Council**

**Audit and Corporate Governance Scrutiny Committee**

**10 February 2021**

**Committee Work Programme 2020/2021**

**Report of the Joint Head of Corporate Governance and Monitoring Officer**

This report is public

**Purpose of the Report**

- To enable the Audit and Corporate Governance Scrutiny Committee to review the Work Programme for the remainder of the municipal year 2020/2021.

**1 Report Details**

- 1.1 The Audit and Corporate Governance Scrutiny Committee considers a range of financial and governance issues on a regular basis. Given the number of matters that are examined by the Committee it is appropriate that an Annual Work Programme continues to be in place.
- 1.2 The Work Programme is set out in the attached **Appendix 1**. It should be recognised that the work plan is a live document to which matters may be added or removed as appropriate and approved by the Committee.
- 1.3 The Work Programme enables Members to give structured consideration as to whether the proposed agenda items are appropriate and serve to meet the objectives of the Committee. That question needs to be considered in the light of the Council's Constitution, Chartered Institute of Public Finance and Accountancy (CIPFA) Guidance on the role of an Audit Committee and established good practice.

**2 Conclusions and Reasons for Recommendation**

- 2.1 To enable the Committee to consider the Work Programme for the remainder of the 2020/2021 municipal year.

**3 Consultation and Equality Impact**

- 3.1 There are no consultation and equality impact matters arising directly from the content of this report.

**4 Alternative Options and Reasons for Rejection**

- 4.1 There are no other options proposed.

## 5 Implications

### 5.1 Finance and Risk Implications

Risk - the development of a Work Programme for the Audit and Corporate Governance Scrutiny Committee will provide an appropriate structure to assist and support the Committee's work. This will help to ensure that the Committee continues to operate effectively and that the Council's governance/scrutiny and accountability arrangements remain robust. The Programme is designed to allow the Audit and Corporate Governance Scrutiny Committee to continue its flexible approach to its work and consider the range of matters which are within its remit.

There are no financial issues arising from the report.

### 5.2 Legal Implications including Data Protection

There are no legal issues or Data Protection matters arising directly from this report.

### 5.3 Human Resources Implications

There are no Human Resource issues arising from the report.

## 6 Recommendations

- 6.1 That the Committee notes and approves the Audit and Corporate Governance Scrutiny Work Programme for the remainder of the 2020/2021 municipal year as set out in the attached **Appendix 1**.

## 7 Decision Information

<b>Is the decision a Key Decision?</b> A Key Decision is an executive decision which has a significant impact on two or more District wards or which results in income or expenditure to the Council above the following thresholds: <i>BDC:</i> Revenue - £75,000 <input type="checkbox"/> Capital - £150,000 <input type="checkbox"/> <i>NEDDC:</i> Revenue - £100,000 <input type="checkbox"/> Capital - £250,000 <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> Please indicate which threshold applies	No
<b>Is the decision subject to Call-In?</b> (Only Key Decisions are subject to Call-In)	No
<b>District Wards Affected</b>	None Directly
<b>Links to Corporate Plan priorities or Policy Framework</b>	

8 **Document Information**

Appendix No	Title
1	Audit and Corporate Governance Scrutiny Committee Work Programme 2020/21
<b>Background Papers</b> (These are unpublished works which have been relied on to a material extent when preparing the report. They must be listed in the section below. If the report is going to Cabinet (NEDDC) or Executive (BDC) you must provide copies of the background papers)	
N/A	
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**AUDIT AND CORPORATE GOVERNANCE SCRUTINY COMMITTEE: PROPOSED  
WORK PROGRAMME**

<b><u>DATE OF MEETING</u></b>	<b><u>ITEM</u></b>
02 December 2020	<ul style="list-style-type: none"> <li>• Summary of Internal Audit Reports 2020/21</li> <li>• Monitoring the Implementation of Internal Audit Recommendations</li> <li>• Performance Management Q2 2020/21</li> <li>• Strategic Risk and Coronavirus Recovery Plans Arrangements</li> <li>• Corporate Debt</li> <li>• Benchmarking Financial Pressures (Covid)</li> <li>• Investment Strategy</li> <li>• Work Programme</li> </ul>
20 January 2021	<ul style="list-style-type: none"> <li>• Medium Term Financial Plan 2021/22 - 2024/25</li> <li>• Treasury Management Strategies 2021/22 – 2024/25</li> </ul>
10 February 2021	<ul style="list-style-type: none"> <li>• Summary of Progress on the Annual Internal Audit Plan 2019/20</li> <li>• Monitoring the Implementation of Internal Audit Recommendations</li> <li>• Fighting Fraud and Corruption Locally</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Performance Management Q3 2020/21</li> <li>• Quarter 3 Financial Monitoring 2020/21</li> <li>• Corporate Debt</li> <li>• Proposed Accounting Policies 2020/21</li> <li>• Work Programme</li> </ul>
30 April 2021	<ul style="list-style-type: none"> <li>• Annual Review of Effectiveness of Internal Audit</li> <li>• Report of the External Auditor – Audit Plan 2021/22</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Internal Audit Plan 2021/22</li> <li>• Performance Management – Quarter 4</li> <li>• Strategic Risk Update</li> <li>• Performance Management Quarter 4 - 2020</li> <li>• Annual Governance Statement</li> <li>• Work Programme</li> </ul>