

North East Derbyshire District Council Internal Audit Plan 2020/21

Progress as at 15th January 2021

| | |
|--|-------------------|
| | Audit Complete |
| | Audit in Progress |
| | Ongoing |

| | 2020/21 Days | Priority |
|-------------------------------------|-------------------------|-------------------|
| Main Financial Systems | | |
| CIPFA Financial Management Code | 8 | M |
| Debtors (Key Controls) | 10 | M |
| Cash and Banking | 18 | M |
| Council Tax | 20 | M |
| Housing / Council Tax Benefit | 18 | Defer to 21/22 |
| | | |
| Total Main Financial Systems | 74 | |
| | | |
| Other Operational Audits | 2020/21 | Priority |
| | | |
| Asset Management Arrangements | 10 | M |
| Corporate Targets | 15 | M |
| Data protection | 12 | M |
| Disabled Facilities Grants | 10 | M |
| Dog Warden Service | 5 | L |
| Elections (carry fwd from 19/20) | 10 | L |
| Food Hygiene | 13 | Defer to 21/22 |
| Homelessness | 10 | Defer to |

| | | | |
|--|---|----------------|-----------------|
| | | | 21/22 |
| | Leisure Operational including Cafe | 20 | M |
| | Leisure direct debits | 5 | L |
| | Planning Processes & Procedures / Appeals | 10 | M |
| | Procurement | 15 | H |
| | Social Media | 8 | M |
| | Street Cleansing | 10 | L |
| | | | |
| | Total Operational Areas | 153 | |
| | | | |
| | Rykneld Homes | 97 | H |
| | | | |
| | IT Related | | Priority |
| | Laptops & Removable Media | 12 | M |
| | Network / cyber security | 12 | H |
| | | | |
| | Total IT | 24 | |
| | | 2020/21 | Priority |
| | Fraud and Corruption | | |
| | | | |
| | National Fraud Initiative | 5 | |
| | | | |
| | Total Fraud & Corruption | 5 | |
| | | | |
| | Corporate / Cross Cutting Issues | | Priority |

| | | |
|---|------------|----------------|
| Climate Change | 5 | H |
| Corporate Governance/Assurance Statement | 2 | H |
| Ethics | 10 | M |
| Financial Advice/Working Groups/Test Schedule Development | 12 | |
| Mobile Phones / Communications | 10 | L |
| Transformation Agenda | 10 | Defer to 21/22 |
| Use of Consultants / IR35 (carry fwd from 19/20) | 14 | Defer |
| | | |
| Total Corporate/Cross Cutting Issues | 63 | |
| | | |
| Special Investigations / Contingency | 25 | |
| Apprenticeships / training | 30 | |
| Audit Committee / Client Liaison | 15 | |
| Grand Total | 486 | |