

## North East Derbyshire District Council

Summary of Internal Audit Recommendations made, implemented and outstanding (as at Mid -January 2023)

<b>Recommendations Made</b>	<b>2019/20</b>	<b>2020/21</b>	<b>2021/22</b>	<b>2022/23</b>
Number of High Priority	1	0	0	0
Number of Medium Priority	30	21	19	4
Number of Low priority	43	33	29	20
<b>Total</b>	<b>74</b>	<b>54</b>	<b>48</b>	<b>24</b>
<b>Recommendations Implemented</b>	<b>73</b>	<b>50</b>	<b>41</b>	<b>13</b>
High Recommendations Outstanding	0	0	0	0
Medium Recommendations Outstanding	0	2	0	0
Low Recommendations Outstanding	1	2	0	0
Not overdue yet	0	0	7	11
Total Overdue Recs	1	4	0	0
<b>Percentage due implemented</b>	<b>99%</b>	<b>93%</b>	<b>100%</b>	<b>100%</b>

## North East Derbyshire

**2019/20**

Report Ref	Audit	Directorate	Conclusion	Recommendations accepted			Total	Not Overdue	Overdue	Implemented
				High	Medium	Low				
N020	Coney Green & Midway Business Centres	Place	Reasonable		2	4	6		1L	5
<b>Total</b>				<b>0</b>	<b>2</b>	<b>4</b>	<b>6</b>		<b>1</b>	<b>5</b>

**2020/21**

Report Ref	Audit	Directorate	Conclusion	Recommendations accepted			Total	Not Overdue	Overdue	Implemented
				High	Medium	Low				
N015	Laptops and Removable Media	Corporate Resources	Reasonable		2	4	6		1L (Revised Target April 23)	5
N018	Network Security and Policies	Corporate Resources	Reasonable		2	1	3		2M 1L (Revised Target April 23)	
<b>Total</b>				<b>0</b>	<b>4</b>	<b>5</b>	<b>9</b>		<b>4</b>	<b>5</b>

## 2021/22

Report Ref	Audit	Directorate	Conclusion	Recommendations accepted			Total	Not Overdue	Overdue	Implemented
				High	Medium	Low				
N009	Disaster Recovery	Transformation	Substantial			3	3	1		2
N018	Digital Transformation	Operations	Reasonable		3	3	6	6		
<b>Total</b>					5	8	13	7		2

## 2022/23

Report Ref	Audit	Directorate	Conclusion	Recommendations accepted			Total	Not Overdue	Overdue	Implemented
				High	Medium	Low				
N001	Insurance	Finance & Resources	Substantial			1	1			1
N002	Leisure (financials)	Growth & Assets	Substantial			4	4			4
N003	Food Hygiene	Organisation and Place	Reasonable		2	5	7	3		4
N004	CIPFA Financial Mgmt Code	Finance & Resources	Substantial				0			0
N005	Planning Fees	Organisation and Place	Substantial			3	3			3
N006	Recruitment and Selection	Organisation and Place	Reasonable		1	1	2	1		1
N007	Council Tax	Finance & Resources	Substantial			3	3	3		
N008	Cash and Bank	Finance & Resources	Substantial				0			0

N009	Sundry Debtors	Finance & Resources	Reasonable		1	1	2	2		
N010	Cemeteries	Organisation and Place	Substantial			2	2	2		
N011	National Non Domestic Rates	Finance & Resources	Substantial				0			0
<b>Total</b>					<b>4</b>	<b>20</b>	<b>24</b>	<b>11</b>	<b>0</b>	<b>13</b>

### NEDDC Outstanding Recommendations as at Mid - January 2023

Audit and date audit completed	Recommendation Outstanding	Priority and Original Target Date	Managers Comment
Coney Green and Midway Business Centres – March 2020	To review, update and increase the web-presence of Coney Green and Midway to promote Business Centre facilities and services available. A review of charges for the virtual office / mailing address service should run concurrently.	Low December 2020  Revised to December 2022	A web design company has been appointed. A meeting has been set up for the 8 <sup>th</sup> Sept 2022 to discuss their concepts/proposals.
Laptops and Removable Media – March 21	As part of its next review, the Joint Information Security Policy should be examined and updated where appropriate to reflect any lessons learned from the effects of the rapid move to	Low January 2022  Revised target date April 2023	The Joint ICT Information Security Policy will be reviewed during the implementation of M365, which is currently being trialled. The implementation of M365 will necessitate a significant re-drafting of the policy.

Audit and date audit completed	Recommendation Outstanding	Priority and Original Target Date	Managers Comment
	home working experienced during the Covid-19 pandemic.		
Network Security and Policies – June 2021	All policies are reviewed and appropriately version controlled with the relevant versions added and updated on the Joint ICT Intranet site and DDDC SIDD.	End October 2021 Revised Target Date April 2023	Communications have been provided the latest versions and asked to update the Intranets. Policies are being reviewed and updated alongside the introduction of M365 as this will introduce additional policies.
Network Security and Policies – June 2021	Joint ICT Strategies are updated and where extant removed from the Intranet site and new versions appended as appropriate.	Medium End February 2022 Revised Target date of April 2023	Awaiting re-organisation and recruitment to assistant director post.
Network Security and Policies – June 2021	ICT should consider the development of an I-Cloud Policy for NEDDC, BDC and DDDC and include this on the Council's Joint ICT Intranet Site.	Low End March 2022 Revised target date April 2023	This will be reviewed with the ICT security policy.