

## **AUDIT & CORPORATE GOVERNANCE SCRUTINY COMMITTEE**

### **MINUTES OF MEETING HELD ON WEDNESDAY, 11 MAY 2022**

#### **Present:**

Councillor Martin E Thacker MBE JP (Chair) (in the Chair)

Councillor Stephen Clough  
Councillor Nigel Barker  
Councillor Ross Shipman

Councillor William Armitage  
Councillor Pat Kerry  
Councillor Kevin Tait

#### **Also Present:**

J Dethick	Director of Finance and Resources & (Section 151 Officer)
K Drury	Information Engagement & Performance Manager
J Williams	Internal Audit Consortium Manager
A Maher	Interim Governance Manager
D Stanton	Senior Scrutiny Officer

#### **AUD Apologies for Absence**

103/

21- Apologies for absence were received from Councillors M Roe and G Morley.

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#### **AUD Declarations of Interest**

104/

21- Members were requested to declare the existence and nature of any  
22 disclosable pecuniary interest and/or other interest, not already on their  
register of interest, in any item on the agenda and withdraw from the meeting  
at the appropriate time.

No Declarations of Interest were received for the meeting.

#### **AUD Minutes of Last Meeting**

105/

21- RESOLVED – That the Minutes of the Audit and Corporate Governance Scrutiny  
22 Committee held on 23 February 2022 be approved as a correct record and signed  
by the Chair.

#### **AUD Summary of Progress on the Annual Internal Audit Plan**

106/

21- The Committee received a report which updated them on progress in respect  
22 of the 2021/22 Internal Audit Plan. The Public Sector Internal Audit Standards  
required that the Head of Internal Audit report periodically to Members in  
respect of performance against the Audit Plan.

The Committee heard that a full annual report would be brought to the July  
meeting.

RESOLVED – That the update be noted.

**AUD**     **Internal Audit Plan 2022/23**

**107/**

**21-**

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The Internal Audit Consortium Manager presented a report which allowed Members to consider the Internal Audit Plan for 2022/23.

The Committee noted that there was currently a vacancy for a Senior Auditor and that Members would be kept updated on the recruitment process. The Internal Audit Consortium Manager also agreed to bring the report back to the Committee if there were any further changes.

The Chair commented that he would like to see a number of risks included such as the impact of increased cost of living, as well as international risks such as the invasion of Ukraine. The Committee agreed that risks to the Council were not only financial but also reputational.

RESOLVED – That

1. The Internal Audit Plan for 2022/23 be agreed.
2. That it be noted that the Plan is provisional and may need adjusting and prioritising in light of any emerging risks/staff shortages etc.

**AUD**     **Performance Management Quarter 4**

**108/**

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The Engagement and Performance Manager was present at the meeting to report the Quarter 4 outturns for the Council Plan 2019-2023 targets.

It was stated that out of the 80 targets 26 were on track; 2 had been achieved this quarter; 12 had achieved their annual outturn; 11 had failed to meet their outturn; 1 had been placed on alert; and 3 had been recommended for withdrawal by Cabinet.

The Committee discussed planning enforcement and the need to be proactive and not reactive. The Engagement and Performance Manager agreed that the target needed to be clearer so that Members expectations could be met.

Members also discussed the role of relevant service managers and Portfolio Holders in addressing those targets which had failed to meet their outturn.

RESOLVED – That the Quarterly Outturns against the Council Plan 2019-23 targets be noted.

**AUD**     **Corporate Debt Quarter 4**

**109/**

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The Assistant Director – Finance and Resources (S151 Officer) presented a report which provided Members with a summary of the corporate debt position as at 31 March 2022.

The Committee enquired about the debt collecting process. It was stated that the Council's recovery team were Sundry Debtors and that there was a process to prevent and collect debt. Members noted, however, that some debt had to be written off due to it not being in the best interests of the Council to pursue.

RESOLVED – That the Committee notes the report concerning the Council's corporate debt position as at 31 March 2022.

**AUD 110/21-22** **Report on Consideration of the Council's Investments (in relation to the Investment Strategy)**

The Committee received a report which provided information on the current portfolio of cash investments along with alternative options for scrutiny.

Members agreed that the Council's investment strategy had been typically of low yield in the past, and that re-consideration of its long term strategy in medium risk opportunities was welcomed. The Assistant Director – Finance and Resources (S151 Officer) confirmed that this had been approved by the Council's financial advisors Arlingclose.

The Committee acknowledged that it was important to balance risk and that the increased return on investment could make a significant difference to projects in North East Derbyshire in the long term. There was a consensus that the Strategy should be endorsed.

RESOLVED – That the report be noted and the Committee's comments be passed on to Cabinet.

**AUD 111/21-22** **Update on the Parking Strategy and its Pilot Schemes**

The Assistant Director – Finance and Resources (S151 Officer) gave a verbal update to Members in regards to the Parking Strategy and its pilot schemes.

It was stated that District Councils had no legal obligation to provide car parking, other than through the planning process for new homes. Members noted, however, that addressing parking issues on land within the Council's housing stock was good practice and a strategy to deal with such parking issues had recently been produced.

A pilot scheme was approved by Cabinet in April 2021, this also identified a ranking scheme to be used to prioritise the 65 sites identified across the district. An annual budget of £288k had been allocated for parking in the HRA.

The Committee requested that a report be produced for the next meeting which specifically included the full list of sites, prioritised according to the approved rankings and a timeline of when works were scheduled for.

RESOLVED – That the update be noted.

**AUD 112/21-22** **Committee Work Programme 2021-2022**

RESOLVED – That the Work Programme for 2021-22 be noted.

**AUD** **Forward Plan of Executive Decisions**

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21- RESOLVED – That the Forward Plan of Executive Decisions be noted.

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**AUD** **To consider any other items which the Chair is of the opinion should be considered as a matter of urgency.**

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22 There were no urgent items to be discussed at the meeting.

**AUD** **Date of Next Meeting**

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21- The next meeting of the Audit and Corporate Governance Scrutiny Committee will  
22 be 29 June 2022 at 3.00 pm.