

**North East Derbyshire**

**Clay Cross Town Deal Board (CCTDB)**

**14 January 2022**

**Assurance and Approval process**

**Report of the Assistant Director Economic Development, Regeneration and Housing Growth**

Classification: This report is public

Report By: Karl Apps

Contact Officer: Karl Apps

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**PURPOSE / SUMMARY**

To update the Clay Cross Town Delivery Board on the assurance and approval approach for the business cases prior to submission to the Department for Levelling Up, Housing and Communities.

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**RECOMMENDATIONS**

1. To note the contents of this report
2. To receive future reports and updates with the forward plan mapped out (including a flow chart).
3. That CCTDB partners provide the project team with their decision timeline to support the delivery of the flow charts.

Approved by the Portfolio Holder - [Click here to enter text.](#)

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**IMPLICATIONS**

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**Finance and Risk:** Yes  No

**Details:**

There are no financial implications arising from this report.

On Behalf of the Section 151 Officer

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**Legal (including Data Protection):** Yes  No

**Details:**

There are no legal or data protection issues arising from this report

On Behalf of the Solicitor to the Council

**Staffing:** Yes  No

**Details:**

This will be included within existing officer work loads.

On behalf of the Head of Paid Service

## DECISION INFORMATION

Decision Information	
<b>Is the decision a Key Decision?</b> A Key Decision is an executive decision which has a significant impact on two or more District wards or which results in income or expenditure to the Council above the following thresholds:  <b>BDC:</b> Revenue - £75,000 <input type="checkbox"/> Capital - £150,000 <input type="checkbox"/> <b>NEDDC:</b> Revenue - £100,000 <input type="checkbox"/> Capital - £250,000 <input type="checkbox"/> <input checked="" type="checkbox"/> Please indicate which threshold applies	No
<b>Is the decision subject to Call-In?</b> (Only Key Decisions are subject to Call-In)	No
<b>District Wards Significantly Affected</b>	Clay Cross
<b>Consultation:</b> Leader / Deputy Leader <input type="checkbox"/> Cabinet / Executive <input type="checkbox"/> SAMT <input type="checkbox"/> Relevant Service Manager <input type="checkbox"/> Members <input type="checkbox"/> Public <input type="checkbox"/> Other <input type="checkbox"/>	Yes  Details: Ward Members

**Links to Council Ambition (BDC)/Council Plan (NED) priorities or Policy Framework including Climate Change, Equalities, and Economics and Health implications.**

Town Centre regeneration

## REPORT DETAILS

## **1 Background (reasons for bringing the report)**

- 1.1 The Clay Cross Town Deal has been allocated £24.1m by government to invest in Clay Cross based on the investment plan, approved by the CCTDB. As part of the the process to secure the funding, the business cases have to be locally assured before they can be submitted to the Department for Levelling Up, Housing and Communities for final approval and release of the Town Deal funding.
- 1.2 The CCTDB approved on 24<sup>th</sup> September 2021 the appointment of Mace to complete the business case assurance. This is the first part of the approval process.
- 1.3 There are other checks and approvals required before the business cases are able to be submitted to DLUHC.

## **2. Details of Proposal or Information**

- 2.1 North East Derbyshire District Council (NEDDC) is the accountable body for the Clay Cross Town Deal funding. It is therefore a requirement that the Council's Head of Finance (known as a Section 151 Officer) signs off all the business cases submissions irrespective of whether or not the Council is investing money.
- 2.2 In cases where the District Council needs to invest in the projects or is using its own land a business case will be submitted to NEDDC Cabinet for approval. Those businesses cases that do not require Council approval will be taken to NEDDC Cabinet for endorsement to show support for the business case.
- 2.3 The business cases will be externally reviewed by Mace to ensure that they meet the criteria. The final approval for submission will then sit with the CCTDB. The submission to DLUHC will include Mace local assurance, S151 officer approval, NEDDC Cabinet endorsement/ approval and the CCTDB sign off.
- 2.4 To adequately plan this and ensure the business cases are delivered on time, a forward plan and a flow chart to support this will be developed and brought to a future CCTDB meeting for review and approval. Partners will need to provide the timeline for their decision making to adequately complete this.
- 2.5 There are also partner approval processes that need to be considered and these will have to be planned for such as Derbyshire County Council and Sports England approval processes. These will be mapped out with partners and included within the forward plan and demonstrated in the flowchart.

## **3 Reasons for Recommendation**

- 3.1 All the business cases, as part of the funding requirements, need to be locally assured. Mace have been appointed to support this but there are additional approvals within the process, which need to be gained before the business cases can be submitted.

3.2 As there are a number of steps within the process and a number of partners involved, a forward plan and flow chart will be produced to map out to the Board what is happening and when. This will ensure the CCTDB has visibility of the process and where we are within it.

#### **4 Alternative Options and Reasons for Rejection**

4.1 Local assurance is a pre-requisite of the Town Deal and must be undertaken. Failure to have the necessary approvals in place could lead to delay or rejection of the business cases.

### **DOCUMENT INFORMATION**

<b>Appendix No</b>	<b>Title</b>
<b>Background Papers</b> (These are unpublished works which have been relied on to a material extent when preparing the report. They must be listed in the section below. If the report is going to Cabinet (NEDDC) or Executive (BDC) you must provide copies of the background papers)	