

**North East Derbyshire District Council**

**Audit and Corporate Governance Scrutiny Committee**

**1 December 2021**

**Committee Work Programme 2021/2022**

**Report of the Assistant Director of Governance and Monitoring Officer**

Classification: This report is public  
Report By: Nicola Calver  
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**PURPOSE / SUMMARY**

To enable the Audit and Corporate Governance Scrutiny Committee to review the Work Programme for the remainder of the municipal year 2021/2022.

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**RECOMMENDATIONS**

1. That the Committee notes and approves the Audit and Corporate Governance Scrutiny Work Programme for the remainder of the 2021/2022 municipal year as set out in the attached **Appendix 1**.
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**IMPLICATIONS**

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**Finance and Risk:** Yes  No

**Details:**

Risk - the development of a Work Programme for the Audit and Corporate Governance Scrutiny Committee will provide an appropriate structure to assist and support the Committee's work. This will help to ensure that the Committee continues to operate effectively and that the Council's governance/scrutiny and accountability arrangements remain robust. The Programme is designed to allow the Audit and Corporate Governance Scrutiny Committee to continue its flexible approach to its and consider work the range of matters which are within its remit. There are no financial issues arising from the report.

On Behalf of the Section 151 Officer

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**Legal (including Data Protection):** Yes  No

**Details:**

There are no legal issues or Data Protection matters arising directly from this report.

On Behalf of the Solicitor to the Council

**Staffing:** Yes  No

**Details:**

There are no staffing issues arising from the report.

On behalf of the Head of Paid Service

**DECISION INFORMATION**

Decision Information	
<p><b>Is the decision a Key Decision?</b>            A Key Decision is an executive decision which has a significant impact on two or more District wards or which results in income or expenditure to the Council above the following thresholds:</p> <p><b>BDC:</b>            Revenue - £75,000 <input type="checkbox"/> Capital - £150,000 <input checked="" type="checkbox"/></p> <p><b>NEDDC:</b>            Revenue - £100,000 <input type="checkbox"/> Capital - £250,000 <input type="checkbox"/></p> <p><input checked="" type="checkbox"/> Please indicate which threshold applies</p>	No
<p><b>Is the decision subject to Call-In?</b>            (Only Key Decisions are subject to Call-In)</p>	No
<p><b>District Wards Significantly Affected</b></p>	None
<p><b>Consultation:</b>            Leader / Deputy Leader <input type="checkbox"/> Cabinet / Executive <input type="checkbox"/>            SAMT <input type="checkbox"/> Relevant Service Manager <input type="checkbox"/>            Members <input checked="" type="checkbox"/> Public <input type="checkbox"/> Other <input type="checkbox"/></p>	Yes  Details: Members of the Audit and Corporate Governance Scrutiny Committee

**Links to Council Ambition (BDC)/Council Plan (NED) priorities or Policy Framework including Climate Change, Equalities, and Economics and Health implications.**

None.

## REPORT DETAILS

### 1 Background

- 1.1 The Audit and Corporate Governance Scrutiny Committee considers a range of financial and governance issues on a regular basis. Given the number of matters that are examined by the Committee it is appropriate that an Annual Work Programme continues to be in place.
- 1.2 The Work Programme is set out in the attached **Appendix 1**. It should be recognised that the work plan is a live document to which matters may be added or removed as appropriate and approved by the Committee, including standing items.
- 1.3 The Work Programme enables Members to give structured consideration as to whether the proposed agenda items are appropriate and serve to meet the objectives of the Committee. That question needs to be considered in the light of the Council's Constitution, Chartered Institute of Public Finance and Accountancy (CIPFA) Guidance on the role of an Audit Committee and established good practice.

### 2. Details of Proposal or Information

- 2.1 To enable the Audit and Corporate Governance Scrutiny Committee to review the Work Programme for the remainder of the municipal year 2021/22.

### 3 Reasons for Recommendation

- 3.1 To enable the Committee to consider the Work Programme for the remainder of the 2021/22 municipal year.

### 4 Alternative Options and Reasons for Rejection

- 4.1 There are no other options proposed.

## DOCUMENT INFORMATION

Appendix No	Title
1	Committee Work Programme 2021/22
<b>Background Papers</b> (These are unpublished works which have been relied on to a material extent when preparing the report. They must be listed in the section below. If the report is going to Cabinet (NEDDC) or Executive (BDC) you must provide copies of the background papers)	
<a href="#">Click here to enter text.</a>	

**AUDIT AND CORPORATE GOVERNANCE SCRUTINY COMMITTEE: PROPOSED  
WORK PROGRAMME**

<b><u>DATE OF MEETING</u></b>	<b><u>ITEM</u></b>
22 September 2021	<ul style="list-style-type: none"> <li>• Summary of Internal Audit Reports</li> <li>• Monitoring the Implementation of Internal Audit Recommendations</li> <li>• Performance Management</li> <li>• Corporate Debt</li> <li>• Financial Monitoring</li> <li>• Internal Audit Consortium Annual Report</li> <li>• External Review of Internal Audit</li> <li>• Annual Statement of Accounts and Going Concern Report 2020-21</li> <li>• Annual Governance Statement and Code of Corporate Governance 2020/21</li> <li>• Audit Completion Report 2020/21</li> <li>• Letter of Representation 2020/21</li> <li>• Risk Management</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Review of Work Programme</li> </ul>
03 November 2021	<ul style="list-style-type: none"> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Corporate Debt (including update on Housing Benefit overpayments)</li> <li>• Financial Monitoring Q2</li> <li>• Revised Budgets</li> <li>• Anti Fraud and Corruption Strategy</li> <li>• Evaluate the Effectiveness of the Audit and Corporate Governance Committee</li> <li>• Review of Work Programme</li> </ul>
1 December 2021	<ul style="list-style-type: none"> <li>• Summary of Internal Audit Reports</li> <li>• Monitoring the Implementation of Internal Audit recommendations</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Performance Management Q2</li> <li>• Ethical Investments review</li> <li>• Financial Resilience benchmarking</li> <li>• PSAA contract for External Audit Services</li> </ul>

	<ul style="list-style-type: none"> <li>• Impact and value that the audit committee had had on the rest of the Council</li> <li>• Cybersecurity</li> </ul>
12 January 2022	<ul style="list-style-type: none"> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Medium Term Financial Plan 2021/22 – 2025/26</li> <li>• Treasury Management Strategies 2021/22 – 2025/26</li> <li>• Risk Management Q3</li> <li>• Corporate Debt Q3</li> <li>• Discussion with a member of the Growth Directorate</li> <li>• Section 106 Audit</li> <li>• Review of Work Programme</li> </ul>
23 February 2022	<ul style="list-style-type: none"> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Summary of Progress on the Annual Internal Audit Plan</li> <li>• Monitoring the Implementation of Internal Audit Recommendations</li> <li>• Fighting Fraud and Corruption Locally</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Performance Management Q3</li> <li>• Proposed Accounting Policies 2021/22</li> <li>• Review of Work Programme</li> <li>•</li> </ul>
11 May 2022	<ul style="list-style-type: none"> <li>• Report of the External Auditor – Audit Plan 2022/23</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Summary of Progress on the Annual Internal Audit Plan</li> <li>• Monitoring the Implementation of Internal Audit Recommendations</li> <li>• Internal Audit Plan 2022/23</li> <li>• Performance Management Q4</li> <li>• Risk Management Q4</li> <li>• Corporate Debt Q4</li> <li>• Work Programme</li> </ul>