

**North East Derbyshire District Council**

**Audit and Corporate Governance Scrutiny Committee**

**28 July 2021**

**Committee Work Programme 2021/2022**

**Report of the Joint Head of Corporate Governance and Monitoring Officer**

Classification: This report is public

Report By: Nicola Calver – 01246 217753

Contact Officer: As above

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**PURPOSE / SUMMARY**

To enable the Audit and Corporate Governance Scrutiny Committee to review the Work Programme for the remainder of the municipal year 2021/2022.

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**RECOMMENDATIONS**

1. That the Committee notes and approves the Audit and Corporate Governance Scrutiny Work Programme for the remainder of the 2021/2022 municipal year as set out in the attached **Appendix 1**.

Approved by the Portfolio Holder

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**IMPLICATIONS**

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**Finance and Risk:** Yes  No

**Details:**

Risk - the development of a Work Programme for the Audit and Corporate Governance Scrutiny Committee will provide an appropriate structure to assist and support the Committee's work. This will help to ensure that the Committee continues to operate effectively and that the Council's governance/scrutiny and accountability arrangements remain robust. The Programme is designed to allow the Audit and Corporate Governance Scrutiny Committee to continue its flexible approach to its and consider work the range of matters which are within its remit. There are no financial issues arising from the report.

On Behalf of the Section 151 Officer

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**Legal (including Data Protection):** Yes  No

**Details:**

There are no legal issues or Data Protection matters arising directly from this report.

On Behalf of the Solicitor to the Council

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**Staffing:** Yes  No

**Details:**

There are no staffing issues arising from the report.

On behalf of the Head of Paid Service

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## DECISION INFORMATION

Decision Information	
<b>Is the decision a Key Decision?</b> A Key Decision is an executive decision which has a significant impact on two or more District wards or which results in income or expenditure to the Council above the following thresholds:  <b>BDC:</b> Revenue - £75,000 <input type="checkbox"/> Capital - £150,000 <input checked="" type="checkbox"/> <b>NEDDC:</b> Revenue - £100,000 <input type="checkbox"/> Capital - £250,000 <input type="checkbox"/> <input checked="" type="checkbox"/> Please indicate which threshold applies	No
<b>Is the decision subject to Call-In?</b> (Only Key Decisions are subject to Call-In)	No
<b>District Wards Significantly Affected</b>	None
<b>Consultation:</b> Leader / Deputy Leader <input type="checkbox"/> Cabinet / Executive <input type="checkbox"/> SAMT <input type="checkbox"/> Relevant Service Manager <input type="checkbox"/> Members <input checked="" type="checkbox"/> Public <input type="checkbox"/> Other <input type="checkbox"/>	Yes  Details: Members of the Audit and Corporate Governance Scrutiny Committee

**Links to Council Ambition (BDC)/Council Plan (NED) priorities or Policy Framework including Climate Change, Equalities, and Economics and Health implications.**

None.

## REPORT DETAILS

### 1 **Background** *(reasons for bringing the report)*

- 1.1 The Audit and Corporate Governance Scrutiny Committee considers a range of financial and governance issues on a regular basis. Given the number of matters that are examined by the Committee it is appropriate that an Annual Work Programme continues to be in place.
- 1.2 The Work Programme is set out in the attached **Appendix 1**. It should be recognised that the work plan is a live document to which matters may be added or removed as appropriate and approved by the Committee, including standing items.
- 1.3 The Work Programme enables Members to give structured consideration as to whether the proposed agenda items are appropriate and serve to meet the objectives of the Committee. That question needs to be considered in the light of the Council's Constitution, Chartered Institute of Public Finance and Accountancy (CIPFA) Guidance on the role of an Audit Committee and established good practice.

### 2. **Details of Proposal or Information**

- 2.1 To enable the Audit and Corporate Governance Scrutiny Committee to review the Work Programme for the remainder of the municipal year 2021/22.

### 3 **Reasons for Recommendation**

- 3.1 To enable the Committee to consider the Work Programme for the remainder of the 2021/22 municipal year.

### 4 **Alternative Options and Reasons for Rejection**

- 4.1 There are no other options proposed.

## DOCUMENT INFORMATION

Appendix No	Title
1	Committee Work Programme 2021/22

**Background Papers** (These are unpublished works which have been relied on to a material extent when preparing the report. They must be listed in the section below.)

If the report is going to Cabinet (NEDDC) or Executive (BDC) you must provide copies of the background papers)

[Click here to enter text.](#)

**AUDIT AND CORPORATE GOVERNANCE SCRUTINY COMMITTEE: PROPOSED  
WORK PROGRAMME**

<b><u>DATE OF MEETING</u></b>	<b><u>ITEM</u></b>
22 September 2021	<ul style="list-style-type: none"> <li>• Summary of Internal Audit Reports</li> <li>• Monitoring the Implementation of Internal Audit Recommendations</li> <li>• Performance Management</li> <li>• Corporate Debt</li> <li>• Financial Monitoring</li> <li>• Internal Audit Consortium Annual Report</li> <li>• External Review of Internal Audit</li> <li>• Annual Statement of Accounts and Going Concern Report 2020-21</li> <li>• Annual Governance Statement and Code of Corporate Governance 2020/21</li> <li>• Audit Completion Report 2020/21</li> <li>• Letter of Representation 2020/21</li> <li>• Risk Management</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Review of Work Programme</li> </ul>
03 November 2021	<ul style="list-style-type: none"> <li>• Summary of Internal Audit Reports</li> <li>• Monitoring the Implementation of Internal Audit Recommendations</li> <li>• Performance Management</li> <li>• Risk Management</li> <li>• Safeguarding (Risk Management)</li> <li>• Corporate Debt</li> <li>• Financial Monitoring</li> <li>• Benchmarking</li> <li>• Investment Strategy</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Evaluate the Effectiveness of the Audit and Corporate Governance Committee</li> <li>• Overpaid Housing Benefit</li> <li>• Review of Work Programme</li> </ul>
12 January 2022	<ul style="list-style-type: none"> <li>• Medium Term Financial Plan 2021/22 - 2024/25</li> <li>• Treasury Management Strategies 2021/22 – 2024/25</li> <li>• Summary of Internal Audit Reports</li> </ul>

	<ul style="list-style-type: none"> <li>• Monitoring the Implementation of Internal Audit Recommendations</li> <li>• Performance Management</li> <li>• Risk Management</li> <li>• Corporate Debt</li> <li>• Financial Monitoring</li> <li>• Action Plan on Projected Budget Shortfall</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Review of Work Programme</li> </ul>
23 February 2022	<ul style="list-style-type: none"> <li>• Summary of Progress on the Annual Internal Audit Plan</li> <li>• Monitoring the Implementation of Internal Audit Recommendations</li> <li>• Fighting Fraud and Corruption Locally</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Performance Management</li> <li>• Financial Monitoring</li> <li>• Corporate Debt</li> <li>• Proposed Accounting Policies 2021/22</li> <li>• Review of Work Programme</li> <li>• Risk Management</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> </ul>
11 May 2022	<ul style="list-style-type: none"> <li>• Annual Review of Effectiveness of Internal Audit</li> <li>• Summary of Progress on the Annual Internal Audit Plan</li> <li>• Monitoring the Implementation of Internal Audit Recommendations</li> <li>• Report of the External Auditor – Audit Plan 2022/23</li> <li>• Report of the External Auditor – Progress report and Technical Update</li> <li>• Internal Audit Plan 2022/23</li> <li>• Performance Management</li> <li>• Risk Management</li> <li>• Financial Monitoring</li> <li>• Corporate Debt</li> <li>• Work Programme</li> </ul>