Agenda Item No 6

North East Derbyshire District Council

Audit and Corporate Governance Scrutiny Committee

22 November 2018

Committee Work Programme 2018/2019

Report of the Joint Head of Corporate Governance and Monitoring Officer

This report is public

Purpose of the Report

- To enable the Committee to consider its Work Programme for the municipal year 2018/2019 to aid the planning of the Committee's workload.

1 Report Details

1.1 The Audit and Corporate Governance Scrutiny Committee considers a range of financial and governance issues on a regular basis. Given the number of matters that are examined by the Committee it is appropriate that an Annual Work Programme is in place.

1.2 The Committee approved its Work Programme for 2018/19 at its meeting on 26 July 2018.

1.2 The Work Programme is set out in the attached **Appendix 1**. It should be recognised that this is a living document which is subject to change during the year.

2 Conclusions and Reasons for Recommendation

2.1 To enable the Committee to consider its Work Programme for 2018/2019.

3 Consultation and Equality Impact

3.1 There are no consultation and equality impact matters arising directly from the content of this report.

4 Alternative Options and Reasons for Rejection

4.1 There are no other options proposed.

5 Implications

5.1 Finance and Risk Implications

The development of a Work Programme for the Audit and Corporate Governance Scrutiny Committee provides an appropriate structure to assist and support the

Committee's work. This will help to ensure that the Committee continues to operate effectively and that the Council's governance/scrutiny and accountability arrangements remain robust.

There are no financial issues arising from the report.

5.2 Legal Implications including Data Protection

There are no legal issues or Data Protection matters arising directly from this report.

5.3 Human Resources Implications

There are no Human Resource issues arising from the report.

6 Recommendations

6.1 That the Committee considers its Work Programme for 2018/2019.

7 Decision Information

Is the decision a Key Decision? A Key Decision is an executive decision which has a significant impact on two or more District wards or which results in income or expenditure to the Council above the following thresholds: *BDC: Revenue - £75,000* ☐ *Capital - £150,000* ☐ *NEDDC: Revenue - £100,000* ☐ *Capital - £250,000* ☑ ☑ *Please indicate which threshold applies*	No
Is the decision subject to Call-In? (Only Key Decisions are subject to Call-In)	No
Has the portfolio holder been informed?	N/A
District Wards Affected	None Directly
Links to Corporate Plan priorities or Policy Framework	Demonstrating Good Governance

8 Document Information

Appendix No	Title
1	Audit and Corporate Governance Scrutiny Committee Work Programme 2018/2019
Background Papers (These are unpublished works which have been relied on to a material extent when preparing the report. They must be listed in the section below. If the report is going to Cabinet (NEDDC) or Executive (BDC) you must provide copies of the background papers)	
N/A	

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Appendix 1

AUDIT AND CORPORATE GOVERNANCE SCRUTINY COMMITTEE: PROPOSED WORK PROGRAMME 2018/2019

DATE OF MEETING	ITEM
17 May 2018	• Internal Audit Consortium: Annual Report 2017/2018 • Summary of Internal Audit Reports Issued 2017/2018 • Annual Governance Statement 2017/18 • Strategic Risk Register and Partnership Arrangements • Performance Management Quarter 4 2017/18
26 July 2018	• Report of Those Charged with Governance ISA 260 (KPMG) • NEDDC Statement of Accounts 2017/18 • Financial Outturn 2017/18 • Quarter 1 2018/19 Financial Monitoring • Performance Management, Quarter 1: 2018/19 • Internal Audit Charter • Summary of Progress on the Annual Internal Audit Plan 2018/19
11 October 2018	• Report of External Auditor (KPMG) Annual Audit Letter 2017/18 • Presentation by Arlingclose – Treasury Management and Investment Opportunities • Strategic Risk Register and Partnership Arrangements • Work Programme
22 November 2018	• Summary of Internal Audit Reports Issued 2018/2019 • Revised Budgets 2018/19 • Fighting Fraud and Corruption Locally • Evaluate the Effectiveness of the Audit and Corporate Governance Committee/Self Assessment • Quarter 2: 2018/19 Financial Monitoring • Strategic Risk Register and Partnership arrangements • Performance Management Quarter 2: 2018/19 • Key Issues of Financial Governance • Review of Terms of Reference • Work Programme
31 January 2019	• Medium Term Financial Plan 2018/19 to 2021/22 • Report of Internal Audit – Summary of Progress on the Internal Audit Plan • Key Issues of Financial Governance • Performance Management, Quarter 3: 2018/19 • Strategic Risk Register and Partnership Arrangements • Annual Review of Effectiveness of Internal Audit • Financial Procedures within Constitution Review • Work Programme

18 April 2019	• Report of the External Auditor : External Audit Plan 2019/20 • Report of the External Auditor :Progress Report and Technical Update • Internal Audit Plan 2019/20 • Key Issues of Financial Governance • Performance Management, Quarter 4: 2018/19 • Strategic Risk Register and Partnership Arrangements • Proposed Accounting Polices 2019/20 • Annual Governance Statement • Work Programme