

CABINET

MINUTES OF MEETING HELD ON 25 NOVEMBER 2015

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CABINET

MINUTES OF MEETING HELD ON 25 NOVEMBER 2015

Present:

Councillor G Baxter MBE Leader (in the Chair)

Councillor J Austen

" N Barker

" M Gordon

Councillor Mrs E A Hill

" P R Kerry

" Mrs L Robinson

420 Apologies for Absence

There were no apologies for absence for this meeting as all members of Cabinet were present.

421 Declarations of Interests

Members were requested to declare the existence and nature of any disclosable pecuniary interests and/or other interests, not already on their register of interests, in any item on the agenda and withdraw from the meeting at the appropriate time.

Councillors Barker and Mrs E A Hill declared non-significant other interests in relation to item 12 – urgent business (private session) on North Wingfield Regeneration Consultation Proposals – arising from their membership of the Rykneld Homes Board. It was noted that as these interests were not significant, Councillors Barker and Mrs E A Hill could remain in the meeting for this item.

422 Minutes of Last Meeting

RESOLVED – That the Minutes of the meeting of the Cabinet held on 28 October 2015 be approved as a correct record and signed by the Leader.

423 Introduction of Universal Credit

Cabinet considered Report No GBXR/18/15-16/RS of Councillor G Baxter MBE, Leader of the Council which advised on the introduction of Universal Credit within the District and highlighted the requirements for the Council as part of a Universal Credit Delivery Partnership Agreement with the Department for Work and Pensions (DWP).

Universal Credit had been introduced across the District from 23 November 2015, initially only for new applications from single people between 18 and 60 years of age.

The Council were requested to provide assistance with online applications and personal budgeting support, limited only to referrals from the DWP. It was anticipated that the number of referrals would be very limited.

The report outlined the funding to be provided to facilitate this support until March 2016. It was proposed that a joint steering group between North East Derbyshire District Council and Bolsover District Council be set up to ensure delivery of the agreement and to assess how the personal budgeting support would be provided.

RESOLVED – That Cabinet:-

- (1) Grants delegated authority to the Executive Director – Operations to sign the Delivery Partnership Agreement on behalf of the Council once the final version had been agreed with the Department for Work and Pensions.
- (2) Agrees that a Joint North East Derbyshire District Council/Bolsover District Council Universal Credit Implementation Group be established with immediate effect chaired by the Joint Assistant Director of Finance, Revenues and Benefits to include representatives as outlined at paragraph 3.1 of the report.
- (3) Agrees that a mapping exercise of internal resources and contracted out resources be carried out with a view to identifying gaps in the provision of support and to start a procurement process for additional resources if necessary.
- (4) Agrees that the Universal Credit Implementation Group identify additional training needs for staff and members.

Reasons for Decisions

To ensure that Universal Credit claimants referred by the DWP are supported in managing the transition to Universal Credit and to ensure effective delivery of the Partnership Agreement.

Other Options Considered and Rejected

The possibility of not entering into the Agreement was rejected as it would have resulted in the loss of potential funding to support vulnerable Universal Credit claimants.

(Assistant Director – Finance, Revenues and Benefits)

424 Avenue Employment and Skills Plan

Cabinet considered Report No MG/08/15-16/JW of Councillor M Gordon, Portfolio Holder with Responsibility for Environment which sought approval for a draft Employment and Skills Plan for The Avenue and the next steps for agreeing a final plan.

The Avenue would be a mixed use site of housing, employment and community usage. The Homes and Community Agency had awarded the contract for the first phase of the development to Kier Living. An outline planning application for the first phase had been given conditional approval with a condition attached that required a scheme to be approved by the Council to enhance and maximise employment and training opportunities. A working group of officers from all the stakeholders had developed a draft Working Communities Plus Employment and Skills Plan for phase

one. Subject to approval of the draft plan, the Council's Employment and Skills Officer would discuss with the developer, Kier Living, the adoption of a final plan.

A task and finish group would be set up to develop activities to fulfil the performance indicators of the plan. The group would be led by North East Derbyshire District Council and would include the Portfolio Holder with Responsibility for Environment and representatives from Derbyshire County Council, the Department for Work and Pensions, Kier Living, Chesterfield College, schools and other training providers in the area.

Progress reports monitoring the performance of the plan would be provided to Cabinet and the Avenue Project Control Board.

RESOLVED – That Cabinet:-

- (1) Approves the draft Avenue Working Communities Plus Employment and Skills Plan.
- (2) Agrees that the plan be submitted to the Avenue Project Control Board for endorsement by partner agencies.
- (3) Agrees that once the Avenue Project Control Board have agreed the draft plan the appropriate officers of the Council shall commence talks with Kier Living to agree a final plan for approval by Cabinet in 2016.

Reason for Decisions

To enhance employment and training opportunities in the District.

Other Options Considered and Rejected

A scheme for the enhancement of employment and training activities was required under the condition attached to the planning decision, therefore the option of not approving the plan was rejected.

425 Revised Budget 2015/16

Cabinet considered Report No PRK/15/15-16/BM of Councillor P R Kerry, Portfolio Holder with Responsibility for Economy, Finance and Regeneration, which informed Cabinet of the outcome of the revised budget process undertaken during October and November 2015. The report sought approval for the revised budget for the General Fund and Housing Revenue Account for 2015/16.

Cabinet was also requested to note the revised position of the Capital Programme based on Council approvals during the course of the financial year and the re-profiling of some approved schemes.

General Fund Revenue Account

The proposed revised budget for the General Fund for 2015/16 was detailed in Appendix 1 to the report. The revised budget showed that the net cost of services had increased to £11.370m, which was £0.544m above the original budget.

However, this was still achieving the original savings target of £0.500m that had been delivered and implemented during the year.

When taking into account the transfers to and from earmarked reserves the underlying spend position for 2015/16 was significantly below that detailed in Appendix 1 to the report.

It was noted that during the budget revision process a clear objective in the exercise was not to make any budget changes that could have a significant adverse impact on the service provision being delivered.

It was estimated that there would be a surplus of £0.414m in 2015/16, dependent upon the actual financial performance outturn in line with the revised budgets. The Chief Executive sought clarification of how this forecasted revenue budget surplus was calculated. The Executive Director – Operations advised that the significant elements of this comprised of decreased interest charges, an increase in property income and business rate growth. Additionally it also included a number of other smaller areas of surplus which were set out in individual detail within Appendix 1 to the report which gave more specific details of the respective revenue budgets.

It was proposed that the estimated surplus be utilised to increase the General Fund balance by £0.200m with the balance of £0.214m being transferred into the Invest to Save Reserve.

Housing Revenue Account (HRA)

The Housing Revenue Account revised budget proposals were detailed in Appendix 3 to the report. In terms of rental income the Housing Revenue Account was £0.292m higher than the current budget which was mainly due to the level of void properties being lower than anticipated. The charges for services income was higher than the original budget by £0.013m. The Council had also seen a reduction in contributions towards expenditure of £0.123m as a result of new contractual service payments with Derbyshire County Council. This was an ongoing reduction that would be reflected in future budgets.

There were no changes proposed to the Management Fee for Rykneld Homes Limited for 2015/16, however, a provision of £0.500m had been made to fund restructuring costs within the Independent Living Team which would be paid across as expenditure is incurred. The remainder of the HRA expenditure which was managed by the Council was within the original budget showing a reduction of expenditure of £0.100m.

Overall the HRA was anticipated to deliver a surplus in the current financial year £0.019m.

Capital Programme

The Council's Capital Programme was detailed in Appendix 4 to the report. The Capital Programme had been updated from the original budget to reflect approvals within the year and the profiling of individual schemes following approved changes. Details of the main changes in the Capital Programme were outlined in the report, including details of the Asset Refurbishment Budgets.

Cabinet briefly discussed some of the issues which may impact on future years' budgets, which would be addressed in the Medium Term Financial Plan.

RESOLVED – That Cabinet:-

- (1) Approves the revised General Fund operational budget for 2015/16 as detailed in **Appendix A** to these Minutes.
- (2) Approves the revised Housing Revenue Account operational budget for 2015/16 as detailed in **Appendix B** to these Minutes.
- (3) Approves the revised Capital Programme 2015/16 as set out in **Appendix C** to these Minutes.

Reason for Decisions

The decisions enabled revised budgets to be set as early as possible within the financial year in order to ensure that the identified budget savings would be realised.

Other Options Considered and Rejected

The anticipated surplus on the General Fund could have been allocated to alternative earmarked reserves, however, this was rejected as there was likely to be more demand on General Fund balances or for Invest to Save funding to meet the Council's transformation plans and service developments.

There were no alternative options considered for the Housing Revenue Account or the Capital Programme.

(Executive Director – Operations)

426 Risk Management Update, Partnership Working and Strategic Risk Register

Cabinet considered Report No PRK/16/15-16/BM of Councillor P R Kerry, Portfolio Holder with Responsibility for Economy, Finance and Regeneration which advised Members of the current position regarding Risk Management and sought approval for the revised Strategic Risk Register as at 30 September 2015. The report also detailed arrangements in place to manage partnership working and the associated risks.

It was noted that the Council had a well established framework and approach to Risk Management.

The Strategic Risk Register was reviewed quarterly to ensure emerging risks were identified and to assess existing risks to ensure appropriate mitigation remained in place. A key element which emerged from the Strategic Risk Register was the ongoing requirement to maintain the Council's service delivery performance and governance. It was therefore necessary for the Council's finances to be managed in a pro-active manner to ensure that expenditure remained within the Council's levels of resources.

External factors which impacted on the development of the Strategic Risk Register included the impact of external financial circumstances and the pace of legislative reforms regarding welfare benefits, housing and devolution. Other significant issues within the Strategic Risk Register included the number of planning applications refused by the Planning Committee in respect of the cost of the appeals; the delivery of efficiency savings to maintain service levels with reduced numbers of staff; and the need to secure suitable permanent employees to fill vacancies in key service areas.

Cabinet discussed the control measures in place for some of these issues and it was agreed that information on control measures would be included in future reports.

The report detailed the Council's key partnerships and the processes in place to ensure that the risks identified by the Council's key partners would be fully considered against the Council's own Strategic Risk Register.

RESOLVED – That Cabinet:-

- (1) Approves the Council's Strategic Risk Register as at 30 September 2015 attached as **Appendix D** to these Minutes.
- (2) Requests that a report on the Strategic Risk Register as at 31 December 2015 be brought to a future meeting of Cabinet for its approval.

Reason for Decision

The report enabled Cabinet to consider the risks identified within the Strategic Risk Register in order to assist and maintaining effective governance arrangements and service and financial performance.

Other Options Considered and Rejected

The Council is required to prepare a Strategic Risk Register as part of its Risk Management Framework and to manage its partnership arrangements effectively. The Strategic Risk Register is regularly reviewed and any proposed changes are regularly considered.

(Executive Director – Operations)

427 Urgent Business (Public Session)

There was no urgent business to be considered in the public session at this meeting of Cabinet.

428 Exclusion of Public

RESOLVED - That the public be excluded from the meeting during the discussion of the following items of business to avoid the disclosure to them of exempt information as defined in Paragraph 1 Part I of Schedule 12A to the Local Government Act 1972 (as amended by the Local Government (Access to Information)(Variation) Order 2006). [The category of exempt information is stated after each Minute].

429 Exempt Minutes of Last Meeting

RESOLVED – That the Exempt Minutes of the meeting of the Cabinet held on 28 October 2015 be approved as a correct record and signed by the Leader.

430 NEDDC Leisure Facilities Investment Options

Cabinet considered Report No GBXR/19/15-16/LH of Councillor G Baxter MBE, Leader of the Council which outlined options for proposed investment in the Council's leisure facilities and sought approval for the Assistant Director – Leisure to develop more detailed proposals.

The report set out some of the evidence and initial findings from the development of a draft Built Facilities Strategy. This included an assessment of the current quantity and quality of the leisure facilities in the District and an analysis of the profile of the District's population covering health and physical activity levels and other demographics.

It was noted that the Council's current facilities were adequate in terms of quantity of provision and spread across the District in meeting the demands from the community, however, much of the stock was becoming very old and in need of investment.

The report proposed levels of works, refurbishment or investment for phase one and phase two that were intended to be undertaken in the medium term with a goal of reducing the subsidy upon the General Fund Revenue budget and increasing income from the existing leisure facilities. Phase three proposals were more significant in terms of investment and were recommended options to be developed in the longer term.

Cabinet discussed the arrangements in place to ensure the standards of the existing facilities are maintained in the short term.

RESOLVED – That Cabinet:-

- (1) Notes the options and indicative cost associated with phases one and two, as detailed in the discussion paper attached to the report, and agrees that a detailed project proposal be developed within 12 months to be brought to Cabinet for consideration.
- (2) Agrees that work continues with the development of a broader strategy for the Council's built leisure facilities across the District, working with partners such as Sport England to develop a comprehensive facility development proposal in Clay Cross with the potential to replace the existing Sharley Park facility.
- (3) Agrees that work continues with the development of comprehensive District-wide leisure facility development proposals for the future, taking into account other strategic documents including but not limited to existing town centre regeneration or development frameworks for both Clay Cross and Eckington.

Reason for Decision

To improve the financial sustainability of the Council's leisure facilities and to increase opportunities for community participation in sport and active recreation.

Other Options Considered and Rejected

The option of remaining with the facilities mix and buildings as they presently exist was rejected as this would not deliver the level of savings required by the Council over the medium to long term. It would also not achieve the Council's priorities with regard to the health of the community.

(Assistant Director – Leisure)
(Paragraph 3)

431 Tenders for Replacement of Ride-On Mowers

Cabinet considered Report No MG/09/15-16/DM of Councillor M Gordon, Portfolio Holder with Responsibility for Environment which sought approval for the acceptance of a tender for the replacement of three No Ransomes Highway 3 ride-on mowers.

Three of the ride-on mowers operated by the Council in the delivery of grass cutting services were in need of replacement.

A tender was received following an open tender process which was evaluated against the Council's criteria and found to be fully compliant and offered good value for money.

RESOLVED – That Cabinet approves the following:-

- (1) That the tender received from Platts Harris Ltd for the supply and delivery of 3 No Ransomes Highway 3 Mowers at a combined cost of £74,400 is accepted.
- (2) That it be noted that the financing for this purchase will be undertaken by way of prudential borrowing in 2015/16 which was approved within the Council's Capital Programme and Treasury Management Strategy.

Reason for Decision

Replacement of three of the Council's ride-on mowers was considered necessary and this tender was found to be compliant with the Council's criteria and offered value for money.

Other Options Considered and Rejected

The option to refuse the offer tendered and to re-open the tender process was rejected as this offer was acceptable as outlined above.

(Assistant Director - Streetscene)
(Paragraph 3)

432 Urgent Business (Private Session)

Cabinet agreed to consider Report No EAH/--/15-16/-- regarding North Wingfield – Regeneration Consultation Proposal.

The Leader was of the opinion that this report should be considered as a matter of urgency as, subject to approval, sufficient time was required to facilitate the consultation arrangements to be put in place before a planning application was required to be submitted in early 2016.

In accordance with Regulation 5 of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the Chair of the Council's Communities Scrutiny Committee had also agreed to this report being considered urgently and in private.

Further to Cabinet Minute No 377 (2015/16), the report set out a proposed consultation plan regarding regeneration proposals in North Wingfield. The proposed consultation plan would start in January 2016. Details of the methods of consultation, the stakeholders and the timetable were included in the report.

RESOLVED – That Cabinet:-

- (1) Notes that the report was urgent because, subject to approval, sufficient time was required to facilitate the consultation arrangements to be put in place before a planning application was required to be submitted early in 2016.
- (2) Approve the consultation proposals set out in the report.
- (3) Receive a further report on the outcome of the consultation in Spring 2016.

Reason for Decision

The consultation plan was to allow the Council and Rykneld Homes Limited to consider the views of those affected in the development of the regeneration proposals.

Other Options Considered and Rejected

The option to continue the development of regeneration proposals without consultation was rejected as this would not have allowed for the views of those affected to be addressed.

(Director of Property Services & Development, Rykneld Homes Ltd)
(Paragraph 3)

GENERAL FUND SUMMARY 2015/16 - Revised Budgets

Appendix A

	Original Budget 2015/16 £	Current Budget 2015/16 £	Revised Budget 2015/16 £
Growth	3,202,087	3,283,497	3,276,198
Transformation	2,475,881	2,537,386	2,637,400
Operations	6,135,525	6,045,359	5,943,672
Bad Debt Provisions	40,000	40,000	40,000
Recharges to Capital and HRA	(527,500)	(527,500)	(527,500)
2015/16 Savings Target	(500,443)	(109,580)	0
Net Cost of Services	10,825,550	11,269,162	11,369,770
Investment Properties	(347,253)	(347,253)	(364,418)
Interest Charges	134,425	74,425	78,840
Debt Repayment	256,000	256,000	256,000
Minimum Revenue Provision			
Parish Precepts	2,687,086	2,831,984	2,831,984
Parish Council Tax	312,805	312,805	312,805
Support Grant			
Transfer to General Fund Balances	0	0	200,000
Transfer To Earmarked Reserves	45,000	45,000	289,156
Transfer From Earmarked Reserves	(13,000)	(296,612)	(828,626)
Total Spending Requirement	13,900,613	14,145,511	14,145,511
NNDR Growth	0	(100,000)	(100,000)
Business Rate Retention	(3,202,735)	(3,202,735)	(3,202,735)
Revenue Support Grant	(1,970,753)	(1,970,753)	(1,970,753)
Council Tax Freeze Grant	(690,058)	(690,058)	(690,058)
Use of New Homes Bonus	(58,240)	(58,240)	(58,240)
Collection Fund (Surplus)/Deficit	(166,499)	(166,499)	(166,499)
Parish Council Council Tax Requirement	(2,687,086)	(2,831,984)	(2,831,984)
NEDDC Council Tax Requirement	(5,125,242)	(5,125,242)	(5,125,242)
Council Tax Requirement	(13,900,613)	(14,145,511)	(14,145,511)

GENERAL FUND ACCOUNT : 2015/16 - Revised Budgets

	Original Budget 2015/16	Current Budget 2015/16	Revised Budget 2015/16
	£	£	£
Growth Directorate			
1121 Member's Services	466,714	465,814	454,203
1123 Chair's Expenses	15,280	14,680	7,470
1131 District Elections	80,000	80,000	90,250
1133 Parish Elections	0	0	0
1135 European Elections	0	0	0
1137 Parliamentary Elections	0	0	0
1139 County Council Elections	0	0	0
1142 Chief Executive's Office	111,229	111,149	121,178
1331 Strategic Partnerships	92,938	91,538	92,930
1332 Strategic Partnership Projects	3,000	3,000	3,000
3165 Housing Options Team	160,123	161,563	163,857
3400 Environmental Protection	67,717	78,867	74,840
3401 Food, Health & Safety	133,311	122,101	124,151
3402 Environmental Enforcement	99,094	98,894	93,865
3403 Neighbourhood Management	1,667	1,547	0
3404 Licensing	(69,257)	(69,297)	(73,286)
3405 Pollution	83,465	83,465	81,316
3406 Handy Van	704	584	(2,451)
3407 Pest Control	31,563	31,563	29,042
3408 Affordable Warmth	28,287	26,477	22,971
3409 EH Technical Support & Management	173,759	175,289	173,464
3410 Private Sector Housing	51,112	51,112	50,121
3726 Works In Default	165	165	175
3727 PFI Project SYHA	0	0	0
3740 Strategic Housing	168,445	118,296	104,335
3741 Housing Ambition	0	0	0
3742 Empty Properties	0	16,096	17,246
3747 Homeless Units	2,750	2,750	2,750
3748 Homelessness Grant	36,105	34,585	48,050
3751 Care Call System	(20,000)	(20,000)	(20,000)
4111 Applications And Advice	(422,400)	(416,170)	(457,400)
4113 Planning Appeals	0	3,936	3,936
4116 Planning Policy	227,138	226,918	202,028
4211 Tourism Promotions	28,502	28,502	13,110
4238 Working Communities Strategy	73,512	73,312	77,470
4239 Ambition	4,385	4,385	0
4311 Environmental Conservation	20,000	20,000	19,350
4351 Alliance	3,350	3,350	3,620

4352	LEADER	0	0	0
4443	Elderly Peoples Clubs	4,000	4,000	4,000
4511	AD Planning & Environmental Health	32,084	32,064	32,960
4512	Growth Agenda	8,200	8,120	48,120
4513	Planning	452,483	566,133	629,356
4515	Building Control	126,660	126,660	126,660
4517	Economic Development	147,003	146,963	150,331
4522	Section 106 Agreement	0	0	0
4526	Sheffield City Region	19,173	19,173	9,283
5219	Corporate Support	28,856	28,856	33,124
5249	Twinning Expenses	7,100	7,100	7,100
5263	Refreshments Suspense Account	0	0	0
5273	Brass Band Concert	3,600	3,480	3,230
5313	Register Of Electors	187,922	187,682	184,203
5321	Monitoring	37,803	37,803	38,420
5353	Legal Section	177,370	177,330	185,061
5392	Scrutiny	45,729	45,729	45,744
5711	Democratic Services	106,246	101,246	89,033
5750	AD Economic Growth	33,888	33,888	35,183
5785	Contributions	131,312	132,799	132,799

Total Growth Directorate	3,202,087	3,283,497	3,276,198
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Operations Directorate

1218	Community Safety	51,548	50,948	45,837
1220	AD Community Safety	3,203	3,203	3,200
3135	Drainage	9,413	9,413	16,231
3172	Engineers	80,035	80,025	66,972
3174	Street Scene	244,958	244,158	241,944
3176	Pool Car	1,705	1,705	1,530
3227	Materials Recycling	105,100	105,060	109,964
3230	Caddy (FoodWaste) Project	0	0	0
3241	Car Parks	35,300	35,300	41,360
	Parks Derbyshire County Council			
3244	Agency	(359,166)	(359,166)	(359,166)
3247	Street Names/Lights	6,000	6,000	6,000
3249	Footpath Orders	800	800	800
3265	Dams And Fishing Ponds	350	350	100
3281	Clay Cross Depot	(6,075)	(6,075)	(5,740)
3282	Eckington Depot	96,120	96,120	95,555
3285	Dronfield Bulk Depot	2,800	2,800	2,790
3511	Hasland Cemetery	(45,920)	(45,940)	(44,930)
3512	CBC Crematorium	(62,000)	(62,000)	(62,000)
3513	Temple Normanton Cemetery	(6,270)	(6,270)	(9,000)
3514	Clay Cross Cemetery	(43,655)	(43,655)	(42,855)
3516	Killamarsh Cemetery	(14,410)	(14,430)	(14,460)

3811	Closed Circuit Television	0	0	0
3918	Dog Fouling Bins	(42,450)	(42,450)	(45,800)
3921	Street Cleaning Service	607,484	607,484	614,156
3943	Transport	39,885	39,785	0
3944	Grounds Maintenance	716,580	716,480	676,714
3945	Domestic Waste Collection	1,695,523	1,693,923	1,658,543
3946	Commercial Waste Collection	(123,664)	(123,664)	(136,989)
3951	Playing Fields General	(145,330)	(145,330)	(151,210)
4412	Midway Business Centre	4,250	4,250	5,275
4425	Coney Green Business Centre	(59,527)	(59,430)	(62,483)
4523	Estates Administration	212,640	195,532	213,499
5113	Unison Duties	13,618	13,618	14,174
5204	AD Property & Estates	30,826	30,826	31,920
5205	Mill Lane Redevelopment	403,895	330,705	703,219
5206	Mill Lane Land	0	27,176	27,176
5209	Facilities Management	97,560	112,560	107,350
5210	Pioneer House	40,705	40,705	21,500
5211	Council House Offices	120,680	132,660	11,900
5212	Tea Trolley Service	23,983	0	0
5611	External Audit	80,000	80,000	65,000
5615	Bank Charges	66,500	66,500	82,200
5621	Contribution to/from HRA	(189,200)	(189,200)	(189,200)
5700	Director of Operations	48,604	48,604	49,989
5705	AD Finance, Revs & Bens	31,733	31,733	31,885
5713	Audit	110,710	110,710	69,750
5714	Financial Support Services	134,190	94,955	91,451
5720	Supporting PA's	75,868	75,868	77,043
5721	Accountancy	272,269	279,249	259,727
5723	Housing Act Advances	2,475	2,475	2,200
5724	Insurance	5,200	5,200	5,300
5727	Cost Of Ex-Employees	947,000	947,000	985,700
5741	Housing Benefit Service	87,512	593,286	435,599
5747	Debtors	44,031	41,881	40,095
5751	NNDR Collection	(82,005)	(35,505)	(33,140)
5759	Council Tax Administration	737,239	192,522	160,092
5781	Village Hall Grants	26,490	26,490	26,490
5782	Playing Field Grants	415	415	415

Total Operations Directorate	6,135,525	6,045,359	5,943,672
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Transformation Directorate

1231	Corporate Training	28,480	28,470	46,425
1250	AD Cust Ser, Strat & Perform	32,164	32,154	33,340
1255	Strategy and Performance	124,787	93,587	84,582
1256	Corporate Consultation	32,326	21,207	20,239

1259	Corporate Groups	12,700	12,500	12,500
1283	Emergency Planning	15,960	15,960	15,950
1310	AD Human Resources	34,240	34,240	48,530
1311	HR & Payroll	297,584	318,004	324,687
1315	Design & Print	27,762	53,843	62,800
1321	Communications & Marketing	72,295	82,929	79,391
1323	NEDDC News	29,200	28,200	28,100
1329	Corporate Web Site	14,030	13,970	13,430
3121	Health & Safety Advisor	38,983	38,983	42,500
4500	Director of Transformation	49,159	49,139	50,105
4561	Leisure Centre Management	85,629	78,829	102,556
4600	AD Leisure	32,426	32,426	33,290
4720	Sportivate	0	0	0
4721	Mighty Creative Project	0	0	0
4725	Village Games	0	0	0
4726	Walking for Health	0	0	0
4727	Five 60	0	0	0
4728	PCT Retained Funds	0	0	0
4731	Promotion Of Recreation And Leisure	27,819	27,779	27,680
4732	Schools Promotion	5	5	(175)
4736	Derbyshire Sports Forum	15,500	15,500	15,500
4742	Arts Development	8,500	8,500	8,580
5215	Telephones	29,000	29,000	29,000
5221	Customer Services	321,832	342,432	375,684
5222	CRM	35,000	57,100	22,100
5223	Franking Machine	4,730	4,730	(820)
5701	Joint ICT Service	(965,971)	(953,471)	(944,451)
5702	ICT Infrastructure	436,325	436,034	436,034
5733	Service desk	355,460	355,440	355,440
5734	NEDDC ICT Service	541,825	541,825	566,115
5736	Business Development	183,556	183,556	183,556
5737	Corporate Printing Costs	24,000	24,000	24,000
5825	Concessionary Bus Passes	(8,740)	(8,740)	(9,260)
8441	Eckington Swimming Pool	200,197	200,177	161,056
8451	Dronfield Sports Centre	(12,695)	(12,715)	54,146
8461	Sharley Park Sports Centre	354,483	354,463	336,790
8465	Sharley Park Sports Centre Outdoor	(2,670)	(2,670)	(2,000)

Total Transformation Directorate	2,475,881	2,537,386	2,637,400
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Investment Properties

4411	Stonebroom Industrial Estate	(40,105)	(40,105)	(43,355)
4413	Clay Cross Industrial Estate	(63,495)	(63,495)	(64,850)
4414	Midway Workshops	(38,620)	(38,620)	(40,650)
4415	Norwood Industrial Estate	(185,980)	(185,980)	(185,375)

4417	Eckington Business Park Rotherside Court Eckington Business	(16,000)	(16,000)	(22,150)
4418	Unit	(20,233)	(20,233)	(23,033)
4419	Ridgeway Craft Centre	8,910	8,910	12,245
4423	Pavilion Workshops Holmewood	(41,825)	(41,825)	(37,860)
4432	Miscellaneous Properties	50,095	50,095	40,610
Total Investment Properties		(347,253)	(347,253)	(364,418)

Appendix B

HOUSING REVENUE ACCOUNT

REVISED BUDGETS 2015/16

	Original Budget 2015/16 £	Current Budget 2015/16 £	Revised Budget 2015/16 £
INCOME			
Dwelling Rents	(32,063,600)	(32,063,600)	(32,348,478)
Non-Dwelling Rents	(362,075)	(360,725)	(367,442)
Charges for Services and Facilities	(240,000)	(508,650)	(522,060)
Contributions Towards Expenditure	(570,000)	(570,000)	(447,000)
INCOME TOTAL	(33,235,675)	(33,502,975)	(33,684,980)
EXPENDITURE			
Repairs & Maintenance	4,863,315	6,415,024	6,407,474
Supervision and Management	7,117,920	5,833,511	6,325,711
Rents, Rates & Taxes	130,000	130,000	145,000
Capital Charges - Depreciation	8,909,000	8,909,000	8,909,000
Housing Subsidy	0	0	0
Provision for Bad Debts	300,000	300,000	200,000
Debt Management Expenses	11,500	11,500	11,500
EXPENDITURE TOTAL	21,331,735	21,599,035	21,998,685
NET COST OF SERVICES	(11,903,940)	(11,903,940)	(11,686,295)
Corporate & Democratic Core	185,450	185,450	185,450
NET COST OF HRA SERVICES	(11,718,490)	(11,718,490)	(11,500,845)
Interest Payable	5,622,575	5,463,896	5,413,617
Interest Receivable	(33,310)	(70,603)	(51,661)
MRP	4,300,000	4,300,000	4,300,000
Transfer to HRA Insurance Reserve	50,000	50,000	50,000
Capital Expenditure Funded by HRA	0	0	0
Capital Works - New Build Reserve	1,770,000	1,770,000	1,770,000
(Surplus)/Deficit on HRA Services	(9,225)	(205,197)	(18,889)
Opening HRA Balance	(2,797,816)	(2,797,816)	(2,797,816)
Transfers (to)/from Balances	(9,225)	(205,197)	(18,889)
Closing HRA Balance	(2,807,041)	(3,003,013)	(2,816,705)

Appendix C

CAPITAL PROGRAMME 2015/16

Capital Expenditure	Original Budget 2015/16 £	Current Programme 2015/16 £	Revised Programme 2015/16 £
Housing Revenue Account			
Decent Homes Programme - MRA Funded	12,500,000	12,608,000	12,608,000
External Wall Insulation	5,318,000	5,318,000	5,318,000
HRA Development Schemes	0	584,000	584,000
Tarrans Scheme - New Build Costs	0	2,532,000	3,057,000
Central Heating Project - DECC Funded	0	0	638,000
HRA - Capital Expenditure	17,818,000	21,042,000	22,205,000
General Fund			
Private Sector Housing Grants (DFG's)	393,000	393,000	493,000
Demolition Costs (Holmewood)	50,000	50,000	60,000
ICT Schemes	130,000	198,000	57,000
Clay Cross Football Pitch	0	11,901	11,901
Dronfield Play Lottery Fund	0	2,503	2,503
Asset Refurbishment - General	150,000	150,000	100,800
Asset Refurbishment - Coney Green BIC	0	11,000	11,000
Asset Refurbishment - Eckington Depot	0	22,000	63,200
DSC Pool Refurbishment	0	37,000	53,000
Refurbishment of Mill Lane	0	279,000	280,000
Land Remediation at Mill Lane Phase 1 & 2	275,000	275,000	360,000
Replacement of Vehicles	2,025,450	2,194,450	2,013,000
Contaminated Land	0	42,001	42,001
Sharley Park Leisure Centre Refurbishment	0	101,000	101,000
General Fund Capital Expenditure	3,023,450	3,766,855	3,648,405
Total Capital Expenditure	20,841,450	24,808,855	25,853,405
Capital Financing			
	2015/16	2015/16	2015/16
Housing Revenue Account			
Major Repairs Allowance	-14,550,000	-15,158,000	-15,158,000
Prudential Borrowing - HRA	0	-1,843,000	-1,843,000
External Grant - EWI scheme	-1,020,000	-1,020,000	-1,020,000
External Grant - HCA	0	-189,000	-714,000
External Grant - DECC	0	0	-638,000
Development Reserve	-2,248,000	-2,832,000	-2,832,000
HRA Capital Financing	-17,818,000	-21,042,000	-22,205,000

Capital Expenditure	Original Budget 2015/16 £	Current Programme 2015/16 £	Revised Programme 2015/16 £
General Fund			
Disabled Facilities Grant	-373,000	-373,000	-373,000
Disabled Facilities Grant Reserve	0	0	-100,000
External Grant - Lottery Funded Schemes	0	-14,404	-14,404
External Grant - Contaminated Land	0	-42,001	-42,001
Prudential Borrowing - Vehicles	-2,025,450	-2,194,450	-2,013,000
Prudential Borrowing - Mill Lane	0	-279,000	-279,000
Invest to Save	-275,000	-275,000	-360,000
RCCO - SPLC	-22,600	-22,600	-22,600
RCCO - Coney Green	0	-11,000	-11,000
RCCO - Vehicles	-69,746	-69,746	-69,746
RCCO - DSC	0	-37,000	-51,000
Useable Capital Receipts	-257,654	-448,654	-312,654
General Fund Capital Financing	-3,023,450	-3,766,855	-3,648,405
HRA Development Reserve			
Opening Balance	-1,156,895	-1,156,895	-1,156,895
Amount due in year	-1,770,000	-1,770,000	-1,770,000
Amount used in year	2,248,000	2,832,000	2,832,000
Closing Balance	-678,895	-94,895	-94,895
MRA Reserves			
Opening Balance	-7,287,595	-7,287,595	-7,287,595
Amount due in year	-8,909,000	-8,909,000	-8,909,000
Amount used in year	14,550,000	15,158,000	15,158,000
Closing Balance	-1,646,595	-1,038,595	-1,038,595
Capital Receipts Reserves			
Opening Balance	-1,195,843	-1,195,843	-1,195,843
Income expected in year	-475,000	-475,000	-475,000
Amount used in year	257,654	448,654	312,654
Closing Balance	-1,413,189	-1,222,189	-1,358,189
Total Capital Financing	20,841,450	-24,808,855	-25,853,405

TABLE 1

STRATEGIC RISK REGISTER SUMMARY

STRATEGIC RISK REGISTER SUMMARY AS AT: 30 SEPTEMBER 2015

	Risk	Consequences	Gross Risk (Probability x Severity)	Net Risk (Probability x Severity) Taking into Account Current Controls	Risk Owner / Lead Officer
1	Failure to deliver a balanced budget in line with the MTFP, at a time when the Council's reserves are at an acceptable rather than a robust level.	<ul style="list-style-type: none"> • Impact upon ability to deliver current level of services • Unable to resource acceptable levels of service. • Significant adverse reputational Impact. 	4,4 16	3,4 12	SAMT / Chief Financial Officer / Political Leadership
2	External financial / policy developments have an adverse impact on Council (poor financial settlement), or upon the local economy (employment losses / welfare reform), to which Council is unable to adopt an appropriate change of Strategic direction.	<ul style="list-style-type: none"> • Unable to deliver the package of services to meet changing local needs and aspirations. • Unable to effectively support local communities. • Increased demands on Council services at a time when the Council resource base is reducing. 	4,4, 16	4,3 12	SAMT / Political Leadership
3	Delivery of the Council's Agenda is dependent upon effective delivery of both a number of major initiatives inc the Growth Strategy, the	<ul style="list-style-type: none"> • New initiatives are not delivered in a cost-effective manner. • Failure to maintain / improve services in line with local aspirations 	4,4 16	4,3 12	SAMT / Chief Executive / Political Leadership

	Risk	Consequences	Gross Risk (Probability x Severity)	Net Risk (Probability x Severity) Taking into Account Current Controls	Risk Owner / Lead Officer
	Transformation Agenda, Welfare Reform, the localised HRA Regeneration Initiatives (including Mill Lane), the Election, securing major financial savings and implementing a range of government reforms whilst maintaining service quality, which may overstretch our reduced organisational capacity.	<ul style="list-style-type: none"> • Failure to generate the savings required to balance the budget • Financial efficiencies weaken Governance / Internal Control arrangements. • Service deterioration / failure arising from capacity issues. 			
4	Increasing difficult in recruiting to key posts or to replace key staff who leave. Recent evidence has indicated that this may be an increasing trend with a limited number of areas being reliant upon Agency staff to secure effective service delivery.	<ul style="list-style-type: none"> • Deterioration in services to the public and loss of productivity • Weakening of Internal Control arrangements. • Ability to deliver Corporate Service Plan, service delivery / improvement falters. 	4,4 16	3,3 9	SAMT / Asst Director HR
5	A major operational (including data protection) risk materialises resulting in a significant impact upon the Council's ability to secure its corporate objectives. Given the efficiency measures that have been introduced to date this is considered to be a growing risk for the Council.	<ul style="list-style-type: none"> • Deterioration in services to the public, potentially a major initial impact upon a local resident or a group of local residents. • Severe reputational damage to the Council. • Significant staff and financial resources required to resolve position, impacting on other services. • A major service has its operating capacity significantly affected and is required to 	4,4 16	3,3 9	SAMT / Assistant Directors

	Risk	Consequences	Gross Risk (Probability x Severity)	Net Risk (Probability x Severity) Taking into Account Current Controls	Risk Owner / Lead Officer
		introduce major reform in its approach to service delivery.			
6	Need to effectively engage with local communities and a range of local partners (through the Strategic Alliance and other Joint services) to deliver cost effective joined up services.	<ul style="list-style-type: none"> • Failure to provide effective community leadership. • Inability to deliver good quality cost effective services targeted at local needs • Failure to achieve the required MTFP savings targets. • Poor outcomes for local residents, due to failure to engage other agencies. 	4,4 16	3,3 9	Political Leadership Team / SAMT
7	Emergency Planning and Business Continuity arrangements fail to meet required standards when tested by flu pandemic, natural disaster (flood), etc	<ul style="list-style-type: none"> • Inability of Council to provide services as a consequence of a severe catastrophic external event (eg flooding, major terrorist incident, flu pandemic, fire at Salter gate). • Failure of IT infrastructure, leading to inability to effectively operate services and to safeguard income streams. • Business Continuity Plans prove ineffective in practice. 	3,5 15	2,5 10	SAMT / Director of Transformation

8	Governance Arrangements including Performance, Finance and Risk Management need to be maintained in order to continue to operate effectively in a rapidly changing environment.	<ul style="list-style-type: none"> • Adverse Impact upon Service Quality. • Failure to deliver high quality services which reflect changing national and local priorities. • Significant adverse reputational impact. 	3,4 12	3,3 9	Chief Financial Officer / Monitoring Officer
9	Staff morale / Sickness Levels adversely affected as a result of pace of change, tightening financial circumstances or external circumstances.	<ul style="list-style-type: none"> • Deterioration in services to the public and loss of productivity • Loss of key staff increased sickness levels • Increased pressure on other members of staff • Loss of 'goodwill'. 	3,4 12	3,3 9	SAMT / Assistant Director HR